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Form ADV Part 2B
Brochure Supplement
for
Jason Rindskopf

Date of Brochure: May 26, 2023

This brochure supplement provides information about Jason Rindskopf that supplements the AE Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact our compliance department at (866) 363-9595 if you did not receive AE Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Jason Rindskopf is also available on the SEC's website at <a href="https://www.adviserinfo.sec.gov">www.adviserinfo.sec.gov</a>.

# Item 2 - Educational Background and Business Experience

Name: Jason Rindskopf

Year of Birth: 1981

Education: University of North Carolina at Charlotte

Financial Management, 2005

Marketing, 2005

Financial

Designations: WMCP® (Wealth Management Certified Professional ®)

Educational

Requirements: To receive the WMCP® designation, you must have at least one-year relevant

experience, including knowledge checks and simulations, agree and comply with issuing

organization code of ethics and procedures, as well as successfully pass the final

examination that the issuing organization will administer.

Recertification of knowledge via continuing education every two years if a requirement to maintain this certification. The issuing organization reviews education (courses, training, etc.) to determine if it meets the recertification requirement. If you are unsure if the continuing education you are seeking is acceptable, please direct all questions to the

issuing organization prior to certification expiration

Business

Background: AE Wealth Management, LLC

Investment Adviser Representative, 10/2021 - Present

Two Waters Wealth Management

Owner, 08/2018 - Present

Advisory Alpha, LLC

Investment Adviser Representative, 02/2020 - 10/2021

Financial Independence Group

Senior VP of Sales, 06/2005 - 06/2018

#### Item 3 - Disciplinary Information

Mr. Rindskopf has no civil, criminal, administrative or regulatory events to report. Additional information regarding Mr. Rindskopf's registration as an Investment Adviser Representative may be found by accessing the SEC's public disclosure website at <a href="https://www.adviserinfo.sec.gov">https://www.adviserinfo.sec.gov</a>.

#### Item 4 - Other Business Activities

Mr. Rindskopf is the Owner and Licensed Insurance Agent of Two Waters Wealth Management. Mr. Rindskopf offers life insurance and annuity products and may offer those products to persons that are also clients of AE Wealth Management. When he sells an insurance product, Mr. Rindskopf receives a commission. This presents a conflict of interest because it creates an incentive to recommend investment products based on the compensation received, rather than on the needs of clients. AE Wealth Management addresses this potential conflict with disclosures and supervisory procedures, which require all investment advisory recommendations to be in the best interest of our clients. Clients are under no obligation to purchase any product or service and may do so through another insurance agent of their choosing.

### Item 5 - Additional Compensation

Mr. Rindskopf may receive compensation or benefits through his affiliation with Advisors Excel, LLC (and/or affiliated companies). Such additional compensation generally consists of cash bonus payments and reward trips based on insurance product sales performance. This presents a conflict of interest, as it creates an incentive to meet sales goals. AE Wealth Management addresses this potential conflict with disclosures and supervisory procedures, which require all investment advisory recommendations to be in the best interests of our clients.

AE Wealth Management Investment Adviser Representatives may receive bonus payments from an insurance company for selling a targeted number of annuities during a specified period of time which creates a conflict of interest. AE Wealth Management Investment Adviser Representatives may also receive bonuses based on their overall assets under management during a specific period of time. These bonuses may include cash payments and/or qualification for networking and business trips. These benefits are not a result of achieving sales quotas related to specific product lines. This presents a conflict of interest which AE Wealth Management addresses by providing disclosures, following procedures and the firm's fiduciary obligation to each client.

# Item 6 - Supervision

AE Wealth Management and its investment adviser representatives provide investment advisory services in accordance with AE Wealth Management's Written Supervisory Procedures. Our Written Supervisory Procedures include provisions for systematic reviews of the investment recommendations made by our representatives and of the securities that are held in our clients' accounts. Our Chief Compliance Officer, Shawn Scholz, is primarily responsible for the implementation of our Written Supervisory Procedures and overseeing the activities of our investment adviser representatives. Clients may contact Mr. Scholz at (866) 363-9595 or <a href="mainto:shawn.scholz@ae-wm.com">shawn.scholz@ae-wm.com</a> with any questions regarding our supervision or compliance practices.